

## **CRG NEEDS ASSESSMENT TOOL (2021 version)**

This CRG Needs Assessment Tool (2021 version) is an updated version of the needs assessment tool developed by the APCRG Platform in 2015. This tool is designed to facilitate qualitative and collaborative scoping of different CRG needs from the perspective of community-based, community-led organizations, key population-led organizations, and civil society groups involved in country responses for HIV, TB, and malaria in Asia-Pacific region.

### **INSTRUCTIONS**

1. This tool is best answered by community-based, community-led organizations, key population-led organizations, and civil society groups who are familiar with their respective country HIV, TB, or malaria responses and those who are supported by the Global Fund. Questions must be answered collectively and must agree on the appropriate answer for each of the question.
2. In most questions, there are notes that could provide additional information to facilitate the discussion.
3. To avoid confusion, a documenter must be assigned separately from the participants and must record responses for HIV, TB, and malaria (or for each concept notes) separately.
4. Organizers and facilitators of this assessment tool can reach out to your UNAIDS, WHO, or CCM Secretariat for support in facilitating this process.

### **WHO SHOULD BE INVOLVED:**

1. Community-based or community-led organizations
2. Civil society groups and advocates
3. Representatives of or members of key population-led organizations (taking into consideration gender inclusion):
  - a. For HIV: gay, bisexual and other men who have sex with men; transgender people, people who use drugs, sex workers, people living with HIV, and young people from key population
  - b. For TB: people who live or work in enclosed spaces, such as prisoners and incarcerated populations, people living with HIV, migrants, indigenous populations, people who have co-morbidities such as people with diabetes, silica workers, urban poor, mobile populations such as migrants, refugees, and displaced populations, children and adolescents
  - c. For Malaria: mobile populations, people who work in remote locations, such as forestry workers, refugees, indigenous populations

### **DOCUMENTS NEEDED**

1. National Strategic Plan (NSP) on HIV, TB, or malaria
2. Global Fund Funding Request (draft, final, or approved version)

## PARTICIPANTS

Facilitator	
Documenter	
Date	
Disease area (HIV, TB, malaria)	
Participants (name, organization)	

## PART 1: GENERAL ASSESSMENT

This part is a general assessment of the inclusion of CRG-related interventions in your country's NSP on HIV, TB, or malaria, and your country's respective Funding Request.

	Questions	Notes
1	How responsive are the interventions and activities in your NSP oriented to reach key and vulnerable populations?	Choose from the following: 1 – Not responsive 2 – Somewhat responsive 3 – Responsive 4 – Very responsive  Please explain your answer and provide examples:
2	How meaningful do community-based, community-led organizations, key population-led organizations, and civil society groups participated in the NSP review and/or development?	Choose from the following: 1 – Not meaningful 2 – Somewhat meaningful 3 – Meaningful 4 – Significantly meaningful  Please explain your answer and provide examples:

	Questions	Notes
3	<p>How participatory were community-based, community-led organizations, key population-led organizations, and civil society groups during the country dialogues for the NSP review and/or development and in the Funding Request development?</p>	<p>Choose from the following:            1 – Not participatory            2 – Somewhat participatory            3 – Participatory            4 – Significantly participatory</p> <p>Please explain your answer and provide examples:</p>
4	<p>How clear were the roles of community-based, community-led organizations, key population-led organizations, and civil society groups in the implementation structure of your Funding Request?</p>	<p>Choose from the following:            1 – Not clear            2 – Somewhat clear            3 – Clear            4 – Very clear</p> <p>Please explain your answer and provide examples:</p>

## PART 2: CRG IN FUNDING REQUESTS

	Questions	Notes
1	How informed are the interventions and activities in your NSP by evidence and data specific to key and vulnerable populations (including age and gender disaggregation, qualitative data availability, as well as inclusive evidence-building process)?	<p>Choose from the following:</p> <ul style="list-style-type: none"> <li>1 – Not informed</li> <li>2 – Somewhat informed</li> <li>3 – Informed</li> <li>4 – Informed and based on evidence</li> </ul> <p>Please explain your answer and provide examples:</p>
2	How meaningfully involved are community-based, community-led organizations, key population-led organizations, and civil society groups participated in the selection of CCM members?	<p>Choose from the following:</p> <ul style="list-style-type: none"> <li>1 – Not meaningful</li> <li>2 – Somewhat meaningful</li> <li>3 – Meaningful</li> <li>4 – Significantly meaningful</li> </ul> <p>Please explain your answer and provide examples:</p>
3	How effective is the CCM in identifying and addressing potential barriers to the	<p>Choose from the following:</p> <ul style="list-style-type: none"> <li>1 – Not effective at all</li> <li>2 – Somewhat effective</li> <li>3 – Effective</li> </ul>

	Questions	Notes
	participation of community-based, community-led organizations, key population-led organizations, and civil society groups in the CCM (such as language barrier, participation barrier, 'favoritism', restrictive laws that constrict spaces for civil society, etc.)?	4 – Significantly effective  Please explain your answer and provide examples:
4	How sufficient were the information on Funding Request development process that were provided to community-based, community-led organizations, key population-led organizations, and civil society groups?	Choose from the following: 1 – Insufficient 2 – Somewhat sufficient 3 – Sufficient 4 – More than sufficient  Please explain your answer and provide examples:
5	How regular were community-based, community-led organizations, key population-led organizations, and civil society groups been consulted during the	Choose from the following: 1 – Not at all 2 – Somewhat regular 3 – Regular 4 – Always  Please explain your answer and provide examples:

	Questions	Notes
	Funding Request development process (to include updates from the writing team, presentation of draft Funding Requests, etc.)?	
6	How included community systems strengthening (CSS) activities and interventions are from program implementation to community-led monitoring in your Funding Request and/or Matching Fund (if applicable) contain clear	<p>Choose from the following:</p> <ul style="list-style-type: none"> <li>1 – Not at all</li> <li>2 – Somewhat included</li> <li>3 – Included</li> <li>4 – Prioritized</li> </ul> <p>Please explain your answer and provide examples:</p>
7	How included activities and interventions are to respond to human rights issues or barriers faced by people affected by TB, HIV, or malaria in your Funding Request	<p>Choose from the following:</p> <ul style="list-style-type: none"> <li>1 – Not at all</li> <li>2 – Somewhat included</li> <li>3 – Included</li> <li>4 – Prioritized</li> </ul> <p>Please explain your answer and provide examples:</p>

	Questions	Notes
	and/or Matching Fund (if applicable)?	
8	How included activities and interventions are to respond to gender-related issues or barriers faced by people affected by TB, HIV, or malaria in your Funding Request and/or Matching Fund (if applicable)?	<p>Choose from the following:</p> <ul style="list-style-type: none"> <li>1 – Not at all</li> <li>2 – Somewhat included</li> <li>3 – Included</li> <li>4 – Prioritized</li> </ul> <p>Please explain your answer and provide examples:</p>
9	How included activities and interventions are that prioritize young people, specifically young people from key populations, in your Funding Request and/or Matching Fund (if applicable)?	<p>Choose from the following:</p> <ul style="list-style-type: none"> <li>1 – Not at all</li> <li>2 – Somewhat included</li> <li>3 – Included</li> <li>4 – Prioritized</li> </ul> <p>Please explain your answer and provide examples:</p>



	Questions	Notes
10	<p>How well-budgeted are activities that will be <b>led</b> by key and vulnerable populations in the Funding Request?</p>	<p>Choose from the following:            1 – Insufficient            2 – Somewhat sufficient            3 – Sufficient            4 – More than sufficient</p> <p>Please explain your answer and provide examples:</p>
11	<p>How well-budgeted are activities to respond to Community, Rights, and Gender (CRG) issues faced by key and vulnerable populations in the Funding Request?</p>	<p>Choose from the following:            1 – Insufficient            2 – Somewhat sufficient            3 – Sufficient            4 – More than sufficient</p> <p>Please explain your answer and provide examples:</p>

	Questions	Notes
12	<p>How involved are community-based, community-led organizations, key population-led organizations, and civil society groups in the Funding Request writing team?</p>	<p>Choose from the following:            1 – Not involved            2 – Somewhat involved            3 – Involved            4 – Very much involved</p> <p>Please explain your answer and provide examples:</p>

## PART 3: GRANT IMPLEMENTATION AND MONITORING AND EVALUATION (INCLUDING NSP REVIEW)

	Questions	Notes
1	<p>How much support (such as technical assistance) did community-based, community-led organizations, key population-led organizations, and civil society groups receive to enable them as grant implementers (such as Principal Recipients [PRs], Subrecipients [SRs], Sub-subrecipients [SSRs])</p>	<p>Choose from the following:            1 – None at all            2 – Little support            3 – Adequate support            4 – Prioritized support</p> <p>Please explain your answer and provide examples:</p>
2	<p>How much protection have been provided to the harmful consequences to community-based, community-led organizations, key population-led organizations, and civil society groups in their participation in the implementation of Global Fund grants? (“Potential harm” may include being subjected to police arrest and detention due to criminal laws against sex workers, people with TB, people living with HIV, people who use drugs, possession of HIV and sexual and reproductive health</p>	<p>Choose from the following:            1 – None at all            2 – Somewhat provided            3 – Adequate protection (but not reflected in policy or law)            4 – Significant protection in place (including in policy and/or law)</p> <p>Please explain your answer and provide examples:</p>

	Questions	Notes
	commodities such as condoms, etc., COVID-19 lockdown restrictions)	
3	How meaningfully involved are community-based, community-led organizations, key population-led organizations, and civil society groups participated in the reprogramming of the PR's Global Fund budget?	<p>Choose from the following:</p> <p>1 – Not meaningful  2 – Somewhat meaningful  3 – Meaningful  4 – Significantly meaningful</p> <p>Please explain your answer and provide examples:</p>
4	In what instances have the CCM or the grant implementers requested for technical assistance (TA) to ensure that CRG-related interventions are included, prioritized, and effectively implemented?	Please explain your answer and provide examples:
5	In the context of monitoring and evaluation as well as NSP review, how included issues of key and vulnerable populations are in	<p>Choose from the following:</p> <p>1 – Not at all  2 – Somewhat included  3 – Adequately included  4 – Prioritized</p>

	Questions	Notes
	evidence-building activities to fill up data gaps?	Please explain your answer and provide examples:
6	How meaningfully involved community-based, community-led organizations, key population-led organizations, and civil society groups are in the assessment of the effectiveness and impact of interventions in the Funding Request	Choose from the following: 1 – Not at all 2 – Somewhat included 3 – Included 4 – Prioritized  Please explain your answer and provide examples:
7	How included community-led monitoring (CLM) interventions are in the Global Fund grant implementation, including in the NSP review?	Choose from the following: 1 – Not at all 2 – Somewhat included 3 – Included 4 – Funded and Prioritized  Please explain your answer and provide examples:

	Questions	Notes

## PART 4: CRG PRIORITY ISSUES

“Community, rights, and gender” (CRG) refers to interventions that aim to ensure that country responses and programmes on HIV, TB, and malaria are community-focused, human rights-based, and gender-transformative. The promotion of CRG is based on evidence that for HIV, TB, and/or malaria interventions to be effective, communities, human rights, and gender should be a central part of our response to these diseases.

Based on this and based on your responses in the previous section:

1. Identify your **TOP FIVE** specific CRG issues that need to be addressed in your country
2. Identify a specific intervention(s) needed to respond to the specific CRG issue that you identified

	(A) CRG Issue or Problem	(B) Specific interventions needed to address this issue
1		
2		
3		
4		
5		

## PART 5: CRG TECHNICAL ASSISTANCE (TA)

The APCRG Platform continues to provide technical assistance to community-based, community-led organizations, key population-led organizations, and civil society groups working to address HIV, TB, and malaria. The APCRG technical assistance<sup>1</sup> can provide the following support:

1. Situational analysis and needs assessments (e.g. CRG-related assessments to generate strategic information for better decision-making; program reviews to ensure community perspectives inform service delivery improvements)
2. Engagement in the country dialogue processes (e.g. community consultations to inform priorities for inclusion in national policies, guidelines, plans, and programs; coordinating input into key GF-related documents; engagement planning to strengthen participation of communities in GF processes; caucusing and collective strategising for coordinated community-led advocacy)
3. Supporting design and implementation arrangements (e.g. mapping of civil society and community organisations and activities to strengthen community systems; refining or validating tools that support community engagement in GF-related processes; workshop to strengthen knowledge of civil society and community groups on the Global Fund)
4. COVID-19 specific activities under C19RM (e.g. convening communities to review C19RM budgets during grant-making negotiations to ensure community priorities remain included; participating strategically in decision-making about C19RM implementation arrangements, including identifying or positioning community SRs/SSRs for specific activities; or refining and validating tools that support communities to monitor C19RM grant implementation)

**What the CRG TA cannot support:** (1) CCM strengthening, (2) Long-term capacity development of civil society networks and organisations, (3) Development of stand-alone tools that lack focus on community engagement, and (4) Funding Request writing

Based on this list, can you break down and identify a specific TA for each interventions that you earlier identified using this table:

	(C) Specific interventions (can be the same as in column B above)	(D) CRG Technical Assistance identified (put <b>none</b> if you think that no specific TA can respond to the intervention you provided in column C)
1		
2		
3		
4		
5		

<sup>1</sup> <https://apcaso.org/apcrg/support/>



## **PART 6: ADDITIONAL SUPPORT**

Do you have other recommendations for additional support that community-based, community-led organizations, key population-led organizations, and civil society groups working on TB, HIV, and malaria might need to have the capacity to push the CRG issues and CRG-related interventions in your country's NSP and in your Funding Requests?